

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERC United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT

10/584,092 Antonio Adigrat

10175.0004

INTERNATIONAL APPLICATION NO.

PCT/EP03/51102

22852
FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER

12/24/2003

22852 FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER LLP 901 NEW YORK AVENUE, NW WASHINGTON, DC 20001-4413

CONFIRMATION NO. 8919

371 FORMALITIES LETTER

OC00000022435422

Date Mailed: 02/13/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/22/2006
- Copy of the International Search Report filed on 06/22/2006
- Preliminary Amendments filed on 06/22/2006
- Information Disclosure Statements filed on 06/22/2006
- U.S. Basic National Fees filed on 06/22/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

Due Date 414-61 4 9.
Action Decl & Suff

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION,

JM

WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

VIRGINIA L IRBY

Telephone: (703) 308-9140 EXT 229

PART 1 - ATTORNEY/APPLICANT COPY

| F | U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|---|-----------------------------|-------------------------------|------------------|
| | 10/584,092 | PCT/EP03/51102 | 10175.0004 |

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FORM PCT/DO/EO/905 (371 Formalities Notice)

· IAP12 Rec'd PCT/PTO 3 0 MAR 2007

| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | | | | CUSTOMER NO. 22,852 ATTORNEY'S DOCKET NUMBER: 10175.0004 | | | | | |
|--|--|---|--------------|--|--|---|--|--|--|--|
| | | | | /OHOMING., | TON UNDER OF E.C.S. S. | U.S. APPLICATION NO. if known, see 37 CFR 1.5(10/584,092 | | | | |
| | | TIONAL 003/051 | | PPLICATION NO. | INTERNATIONAL FILING DATE December 24, 2003 | PRIORITY DATE CLAIMED | | | | |
| | TITLE OF INVENTION PROCESS FOR PRODUCING A LOW-ATTENUATION OPTICAL FIBER | | | | | | | | | |
| APP | PLICAN | NT(S) F | OR | DO/EO/US | | | | | | |
| | | | - | | RONNA, Giuseppe FERRI, and Franco COCCHI es Designated/Elected Office (DO/EO/US) the follo | | | | | |
| | olicant(: | • | | | concerning a filing under 35 U.S.C 371. | owing items and other information. | | | | |
| 1. | ⋈ | | | | Concerning a ming under 35 O.S.C 37 τ. Γ submission of items concerning a submission ur | indox 25 11 9 C 271 | | | | |
| 2. | | | | | ational examination procedures (35 U.S.C. 371(f) | | | | | |
| 3. | _ | (5), (6 | 6), (9 | (9) and (21) indicated below. | • |)). The submission must module items | | | | |
| 4. | | | | nas been elected (Article 31). | | | | | | |
| 5. | | А сору | / of · | | n as filed (35 U.S.C. 371 (c)(2)). | - - | | | | |
| | | | | , , | ed only if not communicated by the International E | Bureau). | | | | |
| | | b. [| | | by the International Bureau. | | | | | |
| | | 0 | | | olication was filed with the United States Receiving | | | | | |
| 6. | | An Eng | glist | | e International Application as filed (35 U.S.C. 371 | I(c)(2)). | | | | |
| | | <u>.</u> | | is attached hereto. | | • | | | | |
| | | . - | | | nitted under 35 U.S.C. 154(d)(4). | | | | | |
| 7. | | Amend | əmb | ents to the claims of the Inter | rnational Application under PCT Article 19 (35 U. | .S.C. 371 (c)(3)). | | | | |
| | | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | |
| | | b. have been communicated by the International Bureau. | | | | | | | | |
| | | c. [| | have not been made; how | vever, the time limit for making such amendments | s has NOT expired. | | | | |
| | | d. [| | have not been made and v | will not be made. | | | | | |
| 8. | | An Eng | glist | h language translation of the | e amendments to the claims under PCT Article 19 | 9 (35 U.S.C. 371 (c)(3)). | | | | |
| 9. | \boxtimes | An oat | ih or | or declaration of the inventor(s | s) (35 U.S.C. 371 (c)(4)). | • | | | | |
| 10. | | | | th language translation of the (35 U.S.C. 371 (c)(5)). | e annexes of the International Preliminary Examin | nation Report under PCT | | | | |
| Item | ıs 11 t | io 20 be | low | v concern document(s) or i | information included: | | | | | |
| 11. | | Inform | atio | on Disclosure Statement unde | er 37 CFR 1.97 and 1.98 | | | | | |
| 12. | | An ass | signr | ment document for recording | g. A separate cover sheet in compliance with 37 | CFR 3.28 and 3.31 is included. | | | | |
| 13. | | A preli | min | nary amendment. | | | | | | |
| 14. | | An Apr | plica | ation Data Sheet under 37 C | ;FR 1.76. | • | | | | |
| 15. | | A Subs | stitu | ute specification. | • | • | | | | |
| 16. | | A pow | er oʻ | of attorney and/or change of a | address letter. | | | | | |
| 17. | | A com | pute | er-readable form of the sequ | uence listing in accordance with PCT Rule 13ter.2 | 2 and 35 U.S.C. 1.821-1.825. | | | | |
| 18. | | A second copy of the published International Application under 35 U.S.C. 154 (d)(4). | | | | | | | | |
| 19. | | A seco | and e | copy of the English language | ge translation of the international application 35 U. | J.S.C. 154 (d)(4). | | | | |
| 20. | \boxtimes | Other i | item | ns or information: | | | | | | |
| | | a. [| | Copy of cover page of Inte | | | | | | |

| The following flore have been submitted: 21. | | U.S. APPLICATION NO. (if known | · | INTERNATIONAL APPLICATION NO. | | ATTORNEY'S DOCKET NUMBER | | |
|---|-----------|---|---|--|-------------------------|--------------------------|-----------------------|-------------|
| 21. D BASIC NATIONAL FEE (37 CFR 1.492(s)) 22. Exemination fee (37 CFR 1.492(s)) If he Writen egilibin propaged by ISANUS or the International politimary examination report prepared by PLPAUS indicates all dames sately provisions of PCT Ariols 33(1)(4) 23. Separchise (37 CFR 1.492(s)) 14. Separchise (37 CFR 1.492(s)) 15. Separchise (37 CFR 1.492(s)) 15. Separchise (37 CFR 1.492(s)) 16. Searchise (37 CFR 1.492(s)) 17. Searchise (37 CFR 1.492(s)) 18. Searchise (37 CFR 1.492(s)) 19. PEAUS indicates all citizens sately provisions of PCT Ariols 33(1)(4) 19. PEAUS indicates as dictimal sately provisions of PCT Ariols 33(1)(4) 19. PEAUS indicates as dictimal sately provisions of PCT Ariols 33(1)(4) 19. PEAUS indicates as dictimal sately provisions of PCT Ariols 33(1)(4) 19. PEAUS indicates as dictimal sately provisions of PCT Ariols 33(1)(4) 19. PEAUS indicates as dictimal sately provisions of PCT Ariols 33(1)(4) 19. PEAUS indicates as dictimal sately provisions of PCT Ariols 33(1)(4) 19. PEAUS indicates as dictimal sately provisions of PCT Ariols 33(1)(4) 19. PEAUS indicates as dictimal sately provisions of PCT Ariols 33(1)(4) 19. PEAUS indicates as dictimal sately provisions of PCT Ariols 33(1)(4) 19. PEAUS indicates as dictimal sately provisions of PCT Ariols 33(1)(4)(4) 19. PEAUS indicates as dictimal sately provisions of PCT Ariols 33(1)(4)(4) 19. PEAUS indicates as dictimal sately provisions of PCT Ariols 33(1)(4)(4) 19. PEAUS indicates as dictimal sately provisions of PCT Ariols 33(1)(4)(4) 19. PEAUS indicates as distinct as a sately provisions of PCT Ariols 33(1)(4) 19. PEAUS indicates as distinct as a sately provisions of PCT Ariols 33(1)(4) 19. PEAUS indicates as distinct as a sately provisions of PCT Ariols 33(1)(4) 19. PEAUS indicates as distinct as a sately provisions of PCT Ariols 33(1)(4) 19. PEAUS indicates as distinct as a sately provisions of PCT Ariols 33(1)(4) 19. PEAUS indicates as distinct as a sately provisions of PCT Ariols 33(1)(4) 19. PEAUS indicates as distinct | } | 10/584,092 | | PCT/EP2003/051102 | | | 10175.0004 | T |
| 22. Examination fee (37CFR 1.492(c)) If the Writter opiotion prepared by ISANUS or the Informational preliminary examination. report prepared by ISANUS (and claims astately provisions of PCT Antice 33(1)-(4) | | | | | ቀ200 00 | | CALCULATIONS | |
| If the Written opinion prepared by ISAUS or the International preliminary examination report propared by ISAUS of claims saidly provisions of PCT Article 3(1):e4) | 1 | 21. LI BASIC IVATIONALI | EE (3/ UFR 1.432(a)) | | | <u></u> | \$ | |
| If the written opinion of the ISA/US or the International proliminary examination report prepared by IPA/US indicates all claims salisty possions of PCT Antida 3(1)(4) | | If the Written opinion prepared by by IPEA/US indicates all cla | \$ | | | | | |
| Additional fee for specification and drawings filled by paper over 100 betastic excluding sequence betaining in compliance with 37 CFR 1.23 (b) or (so computer program listing in an The fee is \$250 for such additional 50 sheets of paper or fraction thereof. Total Sheets | | If the written opinion of the ISA/US IPEA/US indicates all claim Search fee (37 CFR 1.445(a)(2)) I International Searching Aut International Search Report preparences previously communicated to | \$ | | | | | |
| Additional fee for specification and derevings filled in paper over 100 sheats (sectioning sequence listing in compliance with 37 CFR 1.422(i) or (e) or computer program listing in an electronic medium) (37 CFR 1.422(i)). The fee is § 250 for each additional 50 sheets of paper of faction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction thereof. 100 = 7.50 x \$250.00 x \$25 | | | | | | | | |
| Total Sheets Extra Sheets Number of each additional 50 or fraction Rate 100 = | | listing in compliance velectronic medium) (3 | on and drawings filed in paper with 37 CFR 1.821(c) or (e) of 37 CFR 1.492(j)). | r over 100 sheets (excluding s or computer program listing in | • | | | |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the dath or declaration after the date of commencement of the national stage (37 CFR 1.452(b)). CLAIMS NUMBER FILED NUMBER EXTRA RATE Total Claims | ſ | | 1 | Number of each additional 5 | | Rate | | |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commonoement of the national stage (37 CFR 1.42(n)). CLAIMS NUMBER FILED NUMBER EXTRA RATE Total Claims | .[| - 100 = | /50= | | | x \$250.00 | \$ | ĺ |
| Total Claims | | | | mination fee, or the oath or de | eclaration after the da | ate of | \$130.00 | |
| Independent Claims 3 = | | CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) +\$360.00 \$ TOTAL OF ABOVE CALCULATIONS = \$130.00 \$ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. SUBTOTAL = \$130.00 \$ Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest priority date (37 CFR \$ 1.492(i)). TOTAL NATIONAL FEE = \$130.00 \$ Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31), \$40.00 per property. TOTAL FEES ENCLOSED = \$130.00 \$ 1 FC:1657 130.00 OP Amount to be refunded: charged: \$ a. ② A check in the amount of \$ A displicate copy of this sheet is enclosed. b. □ Please charge my Deposit Account No. In the amount of \$ A displicate copy of this sheet is enclosed. c. ② The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0916. A duplicate copy of this sheet is enclosed. d. □ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the international Application to pending status. SEND ALL CORRESPONDENCE TO: Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 901 New York Avenue, N.W. Washington, DC 20001-4413 | 1 | Total Claims | - 20 = | : | x \$50.0 | 0 | \$ | |
| TOTAL OF ABOVE CALCULATIONS = \$130.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/s. SUBTOTAL = \$130.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest priority date (37 CFR \$ 1.492(1)). TOTAL NATIONAL FEE = \$130.00 Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.29, 3.31) \$40.00 per property. TOTAL PEES ENCLOSED = \$130.00 TOTAL FEES ENCLOSED = \$130.00 TOTAL FEES ENCLOSED = \$130.00 Amount to be refunded: \$ charged: \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ | 1 | Independent Claims | -3 = | : | x \$200.0 | JO | \$ | |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. SUBTOTAL = \$130.00 | 1 | MULTIPLE DEPENDENT CLAIM(| (S) (if applicable) | | +\$360.0 | 00 | \$ | |
| SUBTOTAL = \$130.00 | | | | TOTA | L OF ABOVE CALC | ULATIONS = | \$130.00 | |
| SUBTOTAL = \$130.00 | Į | ☐ Applicant claims small entity st | tatus. See 37 CFR 1.27. Fe | es above are reduced by ½. | | | \$ | |
| TOTAL NATIONAL FEE = \$130.00 Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31); \$40.00 per property. 4/03/2007 LLANDGRA 00000050 10584092 TOTAL FEES ENCLOSED = \$130.00 TOTAL FEES ENCLOSED = \$130.00 A mount to be refunded: charged: \$ a. A check in the amount of \$ I 130.00 (b) Please charge my Deposit Account No. in the amount of \$ A duplicate copy of this sheet is enclosed. c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0916. A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filled and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 301 New York Avenue, N.W. Washington, DC 20001-4413 **TOTAL NATION NUMBER** | | | | · · · · · · · · · · · · · · · · · · · | • | SUBTOTAL = | \$130.00 | |
| TOTAL NATIONAL FEE = \$130.00 Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate \$ 4/03/2007 LLANDGRA 00000050 10584092 TOTAL FEES ENCLOSED = \$130.00 1 FC:1617 130.00 0P Amount to be refunded: \$ charged: \$ a. A check in the amount of \$ please charge my Deposit Account No. in the amount of \$ A duplicate copy of this sheet is enclosed. c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0916. A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 901 New York Avenue, N.W. Washington, DC 20001-4413 Enset F. Chapman Rea. No. 25,961 NAME/REGISTRATION NUMBER | | | nishing the English translation | later than 30 months from th | e earliest priority dat | e (37 CFR + | \$ | |
| cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. ### A/03/20(7 LLANDGRA 0000050 10584092 | | | | | TOTAL NATI | ONAL FEE = | \$130.00 | |
| Amount to be refunded: a. A check in the amount of \$ | | | | The assignment must be acc | companied by an app | ropriate + | \$ | |
| refunded: charged: S | 1/03/2007 | 7 LLANDGRA 00000050 10584(|)92 | | TOTAL FEES E | :NCLOSED = | \$130.00 | |
| a. ⊠ A check in the amount of \$ 130.00 to cover the above fees is enclosed. b. □ Please charge my Deposit Account No | FC:1617 | 7 | 130.00 OP | | | | | \$ |
| b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. c. \(\text{\text{The Commissioner}} \) in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. c. \(\text{\text{The Commissioner}} \) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \(\text{06-0916} \). A duplicate copy of this sheet is enclosed. d. \(\text{\text{The Commissioner}} \) Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 901 New York Avenue, N.W. Washington, DC 20001-4413 Emest F. Chapman Reg. No. 25,961 NAME/REGISTRATION NUMBER | Ļ | | | | | | charged: | \$ |
| A duplicate copy of this sheet is enclosed. c. Image: The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0916. A duplicate copy of this sheet is enclosed. d. Image: Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 901 New York Avenue, N.W. Washington, DC 20001-4413 Emest F. Chapman Reg. No. 25,961 NAME/REGISTRATION NUMBER | | a. A check in the amount o | if \$130.00 | to cover the above fe | es is enclosed. | - | | |
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| 901 New York Avenue, N.W. Washington, DC 20001-4413 Emest F. Chapman Reg. No. 25,961 NAME/REGISTRATION NUMBER | | SEND ALL CORRESPONDENC | E TO: | | | 2 | | |
| NAME/REGISTRATION NUMBER | [9 | 901 New York Avenue, N.W. Washington, DC 20001-4413 | | | | | | |
| | | DATED: March 30, 2007 | _ | | | | | |

| | TRAN | | LETTER TO THE UNITED S (DO/EC | CUSTOMER NO. 22,852 ATTORNEY'S DOCKET NUMBER: 10175.0004 U.S. APPLICATION NO. if known, see 37 CFR 1.5(| | | | | |
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| INITI | - DNA | TIONAL A | DDI ICATION NO | INTERNATIONAL EN INC DATE | 10/584,092 | | | | |
| | | 003/051102 | PPLICATION NO. 2 | INTERNATIONAL FILING DATE December 24, 2003 | PRIORITY DATE CLAIMED | | | | |
| | | INVENTIONS FOR PRO | ON O <mark>ducing a low-attenu</mark> a | ATION OPTICAL FIBER | | | | | |
| | | | R DO/EO/US | RONNA, Giuseppe FERRI, and Franco COCCH | UNII | | | | |
| | | | | s Designated/Elected Office (DO/EO/US) the foll | | | | | |
| 1. | | This is a | FIRST submission of items c | concerning a filing under 35 U.S.C 371. | | | | | |
| 2. | \boxtimes | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | |
| 3. | | | · · · · · · · · · · · · · · · · · · · | ational examination procedures (35 U.S.C. 371(f) |)). The submission must include items | | | | |
| 4. | | | (9) and (21) indicated below.nas been elected (Article 31). | | | | | | |
| 5. | | | | as filed (35 U.S.C. 371 (c)(2)). | | | | | |
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| | | D. □ | | lication was filed with the United States Receiving | na Office (RO/US). | | | | |
| 6. | | . | | International Application as filed (35 U.S.C. 371 | | | | | |
| . | | a. 🗆 | is attached hereto. | , | | | | | |
| | | b. □ | | itted under 35 U.S.C. 154(d)(4). | , | | | | |
| 7. | | | · · · | national Application under PCT Article 19 (35 U. | .S.C. 371 (c)(3)). | | | | |
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| | | b. □ | | by the International Bureau. | | | | | |
| | | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | |
| | | d. □ | have not been made and v | vill not be made. | | | | | |
| 8. | | An Englis | h language translation of the | amendments to the claims under PCT Article 19 | 9 (35 U.S.C. 371 (c)(3)). | | | | |
| 9. | \boxtimes | An oath o | or declaration of the inventor(s | s) (35 U.S.C. 371 (c)(4)). | | | | | |
| 10. | | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). | | | | | | | |
| ltem | s 11 t | :o 20 below | v concern document(s) or i | nformation included: | | | | | |
| 11. | | Informatio | on Disclosure Statement unde | er 37 CFR 1.97 and 1.98 | | | | | |
| 12. | | An assign | ment document for recording | g. A separate cover sheet in compliance with 37 | CFR 3.28 and 3.31 is included. | | | | |
| 13. | | A preliminary amendment. | | | | | | | |
| 14. | | An Application Data Sheet under 37 CFR 1.76. | | | | | | | |
| 15. | | A Substitute specification. | | | | | | | |
| 16. | | A power o | of attorney and/or change of a | address letter. | | | | | |
| 17. | | A compute | er-readable form of the sequ | ence listing in accordance with PCT Rule 13ter.2 | 2 and 35 U.S.C. 1.821-1.825. | | | | |
| 18. | | A second | copy of the published Interna | ational Application under 35 U.S.C. 154 (d)(4). | | | | | |
| 19. | | A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4). | | | | | | | |
| 20. | \boxtimes | Other items or information: | | | | | | | |
| | | a. 🗆 b. 🔯 | Copy of cover page of Inte | | | | | | |

| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/584,092 | | | INTERNATIONAL APPLICATION NO. PCT/EP2003/051102 | | | ATTORNEY'S DOCKET NUMBER 10175.0004 | |
|--|--|--|--|--|-----------------|---|------------|
| The following fees have been submitted: | | | | | | CALCULATIONS | PTO Use |
| 21. 🗖 BA | BASIC NATIONAL FEE (37 CFR 1.492(a)):\$300.00 | | | \$ | Only | | |
| 22. | | | | | | | |
| If the Written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) | | | | | | | |
| If the written op IPEA/US Search fee (37 International Se previous | indicates all claims sa CFR 1.445(a)(2)) has onal Searching Author earch Report prepared ly communicated to th | the International preliminations of PCT A been paid on the international preliminations of PCT A been paid on the international prelimination of PCT A been paid on the international prelimination of PCT A been paid on the IB | nary examination report preparaticle 33(1)-(4)tional application to the USP and provided to the Office | \$0 O as an\$100 e or\$400 | | \$ | |
| | | TOTAL of 21 | I, 22 and 23 = | | <u> </u> | | |
| list ele | ing in compliance with ctronic medium) (37 C | 37 CFR 1.821(c) or (e) o | over 100 sheets (excluding some computer program listing in aper or fraction thereof. | ~ | | | |
| | Sheets | Extra Sheets | Number of each additional thereof (round up to a who | | Rate | | |
| | - 100 = | /50= | | ······································ | x \$250.00 | \$ | |
| | 30.00 for furnishing a tof the national stage | | mination fee, or the oath or d | eclaration after the da | ate of | \$130.00 | |
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| Total Claims | | - 20 = | | × \$50.0 | 0 | \$ | |
| Independent CI | aims | -3 = | | x \$200.0 | 00 | \$ | |
| MULTIPLE DEF | PENDENT CLAIM(S) (| if applicable) | | +\$360.0 | 00 | \$ | |
| | | | TOTA | L OF ABOVE CALC | ULATIONS = | \$130.00 | |
| ☐ Applicant cla | ims small entity status | s. See 37 CFR 1.27. Fee | es above are reduced by ½. | | | \$ | |
| | | | | | SUBTOTAL = | \$130.00 | |
| Processing fee 1.492(i)). | of \$130.00 for furnishi | ng the English translation | later than 30 months from the | , | | \$ | |
| | | | | TOTAL NATI | ONAL FEE = | \$130.00 | |
| Fee for recording cover sheet (37) | g the enclosed assign CFR 3.28, 3.31). \$40 | ment (37 CFR 1.21 (h)). .00 per property. | The assignment must be acc | companied by an app | ropriate + | \$ | |
| | | | | TOTAL FEES E | NCLOSED = | \$130.00 | |
| | | | | | ' | Amount to be refunded: | \$ |
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| a. 🛛 A che | ck in the amount of \$_ | 130.00 | to cover the above fe | es is enclosed. | | | |
| | e charge my Deposit A licate copy of this shee | | in the amount of \$ | | to cover th | ne above fees. | |
| c. 🖾 The C No. <u>06</u> | ommissioner is hereby 3-0916 A duplicate c | y authorized to charge an opy of this sheet is enclos | y additional fees which may sed. | pe required, or credit | any overpaym | ent to Deposit Accou | ınt |
| d. Fees a | are to be charged to a s form. Provide credi | credit card. WARNING: it card information and au | Information on this form may thorization on PTO-2038. | become public. Cre | dit card inforr | nation should not b | e included |
| | | limit under 37 CFR 1.49 I Application to pending | 5 has not been met, a petiti s status. | on to revive (37 CF | R 1.137(a) or (| b)) must be filed an | d granted |
| | RRESPONDENCE TO | | | 7/1/ | 2 | | |
| Finnegan, Hend 901 New York A Washington, DC | • | ett & Dunner, L.L.P. | SIGNATU Ernest F. | RE Chapman Reg. No. 2 | 25 961 | | |
| DATED: March | 30, 2007 | | | GISTRATION NUMB | | _ | |
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